

National High School Center
at the American Institutes for Research

Approaches To Dropout Prevention: Heeding Early Warning Signs With Appropriate Interventions

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Sobering Statistics...

- Nearly one-third of all high school students leave the public school system before graduating
- 1.2 million students drop out of high school each year – that's 12 million over the next decade
- 7,000 students drop out of high school every day
- 15% of the high schools in the U.S. produce 50% of our dropouts – schools Balfanz and Legters call "dropout factories"

Balfanz, R. and Legters, N. (2006, July 12). The graduation rate crisis we know and what can be done about it. Retrieved online from http://web.ih.edu/CSOS/graduationgap/edweek/Crisis_Commentary.pdf


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Economic Consequences

- A new high school dropout in 2000 had less than a 50% chance of getting a job
- That job earned less than half of what the same job earned 20 years ago
- Lack of education is strongly correlated with welfare dependency and incarceration
- Cutting the number of dropouts in half would reap \$45 billion in revenues and decreased costs (Levin et al., 2007)


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2008 IES Practice Guide on Dropout Prevention

- Utilize data systems** that support a realistic diagnosis of the number of students who drop out and that help **identify individual students at high risk of dropping out**
- Assign adult advocates** to students at risk of dropping out
- Provide academic support and enrichment** to improve academic performance
- Implement programs to **improve students' classroom behavior and social skills**
- Personalize** the learning environment and instructional process
- Provide rigorous and relevant instruction** to better engage students in learning and provide the skills needed to graduate and to serve them after they leave school

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Early Warning Systems

Early warning systems (EWS) use readily available data housed at the school to:

- Predict which students are at-risk for dropping out of high school
- Target resources at the school and district level to support off-track students while they are still in school, before they drop out
- Examine patterns and identify school climate issues that may contribute to disproportionate dropout rates at a subset of high schools or within subpopulations of students

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9th Grade is a Critical Year

- Ninth grade is a "make or break year"
 - More students fail 9th grade than any other grade in high school
 - A disproportionate number of students who are held back in 9th grade subsequently drop out
- By the end of 9th grade or even during the first semester, powerful indicators exist that can predict whether students will complete high school:
 - Engagement
 - Course performance
 - Chicago's "On-Track" Indicator

Herlihy, C. (2007). State and district-level supports for successful transition into high school. Washington, DC: National High School Center.
Allensworth, E., & Easton, J. Q. (2007). What matters for staying on-track and graduating in Chicago Public High Schools: A close look at course grades, failures and attendance in the freshman year. Chicago: Consortium on Chicago School Research.

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Key 9th Grade Indicators

Engagement

- Attendance/absenteeism

Course Performance

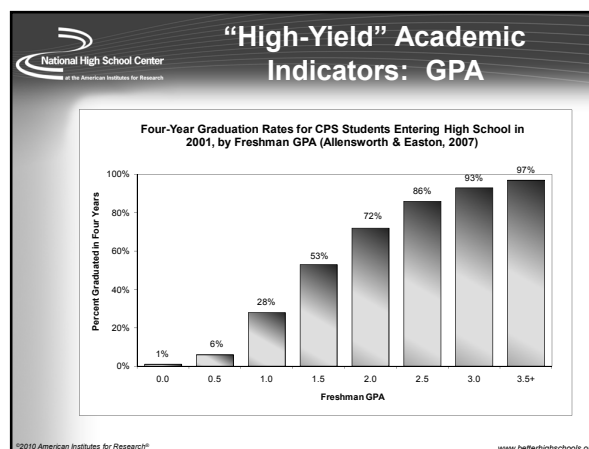
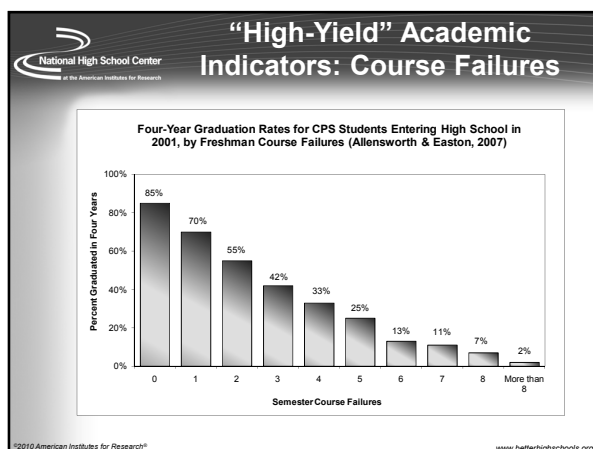
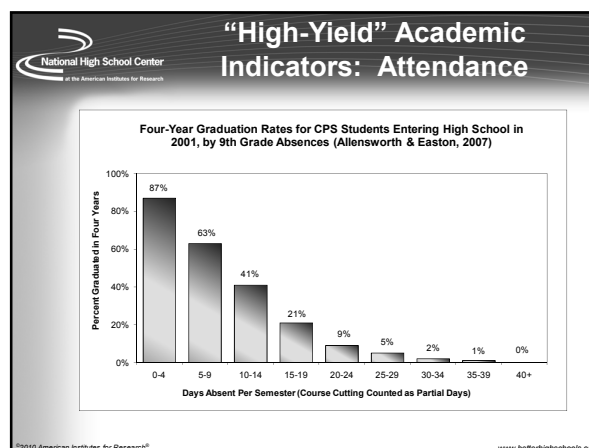
- Course grades
- Number of credits earned

"On-track" Indicator

- Core course performance & accumulated credits

Research from several U.S. school districts provides a strong foundation for defining 9th grade warning signs that students might drop out, but local adaptation is key

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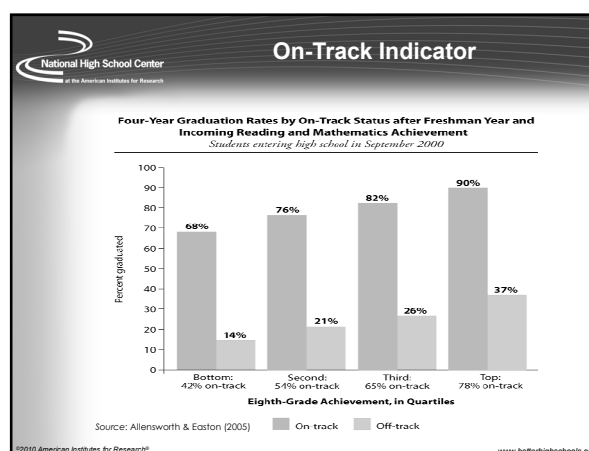
Chicago's "On-track" Indicator


Students are "on-track" if they:

- have not failed more than one semester long core course, AND
- have accumulated enough credits for promotion to the 10th grade.


Number of Semesters with Fs in Core Courses	# of Credits Accumulated Freshman Year	
	Less than 5	5 or more
2 or more courses	Off-track	Off-track
0 or 1 courses	Off-track	On-track

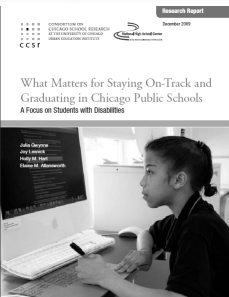
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 “High Yield” 9th Grade Indicators	
Indicators	Benchmark (red flag)
Absenteeism	Missing 10% or more of instructional time
Course failures	One or more failed courses
Grade point average	2.0 or lower (on a 4-point scale)
“Off-track”	Fail two or more semester core courses, or accumulate fewer credits than the number required for promotion to the 10th grade

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Students with Disabilities



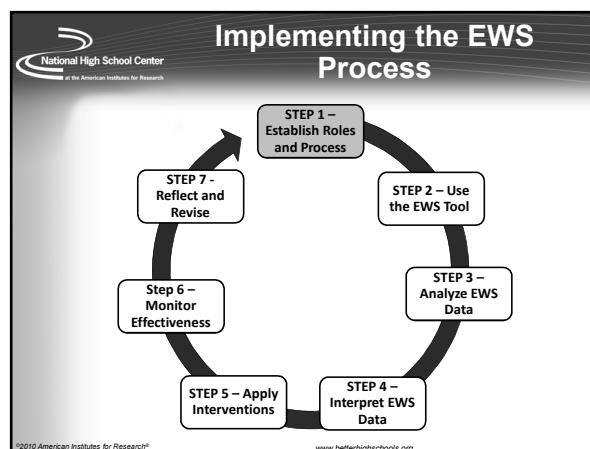
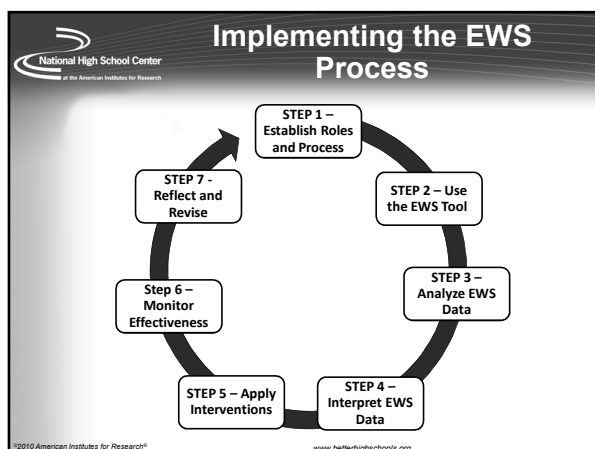
Research Report
Number 120


What Matters for Staying On-Track and Graduating in Chicago Public Schools
A Focus on Students with Disabilities

Julia Dreyer
Amy Lerman
Michael Ryan
Emily M. Albrecht

Freshman year course performance—more than background characteristics such as race, gender, socioeconomic status or prior achievement—predict which students with disabilities are most at risk for dropping out of high school

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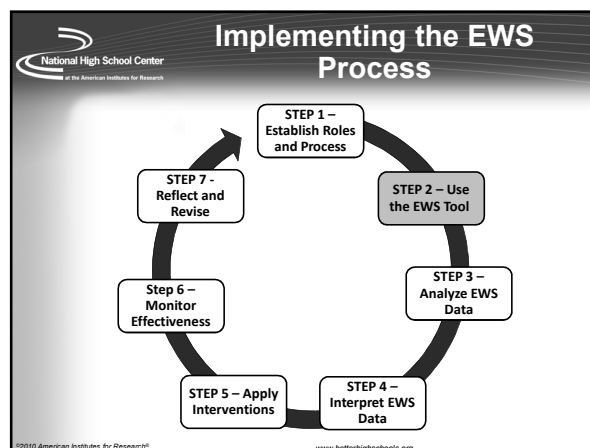



Step One: Establish Roles and Process

EWS teams should include school- and district-level individuals who have:

- Authority to make decisions
- Knowledge of diverse students
- Expertise to manage and analyze data
- Information about strategies

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Step Two: Use the EWS Tool

- Routinely available data are good predictors of whether a student is likely to drop out of high school
- First-month absences, in addition to end of the semester grades, are additional strong predictors of dropout
- The goal is to effectively and efficiently allocate dropout prevention resources to change the odds for students with a high propensity to drop out
 - Not all students at-risk will need all interventions available
 - Analysis of different patterns of risk can help target appropriately
 - EWS data = knowledge to make these decisions

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EWS Tool: Instructions
September 2010

ABOUT THE TOOL

This tool, developed by the National High School Center at the American Institutes for Research, is designed to allow users to identify students who show early warning signs that they are at risk for dropping out of high school. The Early Warning System tool automatically calculates and enters data that are predictors of whether students graduate or drop out. These indicators are related to attendance, course failure rate, grade point average (GPA), and credit accumulation. The tool is designed to be used on a regular basis—after the first 20 or 30 days of school, and after every grading period thereafter.

The tool has three types of screens, as shown on the left menu:

- (1) **Tool Setup** screens allow you to customize the settings to your school or district: (a) the number of grading or marking periods the number of days per period;
- (2) **Data inputs** screens allow you to import or enter student-level information including background information, pre-high school information (if available), and student performance data including attendance, course performance, GPA, and credits earned. More information is provided in the EWS Tool User's Technical Guide about how to set up files for input. The purpose is to enable you to import information that is housed in other systems into the tool, without having to enter data or calculate effort.
- (3) **Outputs & Reports** screens display student-level and summary information that identifies students who are at-risk. Click the "Student Risk Status" button to view all students and whether or not they are flagged as at-risk, and any of the four which indicators are they flagged. From the Student Risk Status page, right-click on any student for Assign Interventions to that student. From the Main

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Early Warning System Tool Main Menu

Tool Setup MORE INFO

Data Inputs MORE INFO

Outputs & Reports MORE INFO

School Information

Student Information

Student Performance

Tool Settings

Student Pre-high School Status

Intervention Settings

Student Risk Status

Reports Control Panel

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Performance Data MORE INFO

Main menu Import data

View: All

Student details			Pre-High School	Semester 2							
Student ID	Last Name	First Name	Pre-HS	Courses failed (score)	Credits earned	GPA	Total absences	Courses failed (all)	Credits earned		
1	Manner	Christian	Yes	0	2.6	4.00	3	1	0	1.6	2.0
2	Linck	Nelson	No	0	2.6	3.60	4	0	0	2.6	3.6
3	Carmona	Lindsay	Yes	0	2.6	3.30	2	0	0	2.6	3.3
4	Uy	Ted	No	1	2	2.00	2	0	0	2.6	4.0
5	Black	Hugh	Yes	0	2	1.40	0	1	0	1.6	1.6
6	Manner	Christian	No	0	1.6	1.40	3	1	0	1.6	1.6
7	Linck	Nelson	Yes	0	3	2.70	0	0	0	2.6	2.6
8	Maddox	Kelly	No	1	1	1.40	4	0	0	2.6	2.6
9	Uy	Ted	Yes	1	1.6	2.00	0	0	0	2.6	2.6
10	Black	Hugh	No	0	1.6	2.00	2	0	0	2.6	2.6
11	Manner	Christian	Yes	0	2.6	2.00	0	1	0	1.6	1.6
12	Linck	Nelson	No	0	2.6	2.00	5	0	0	2.6	2.6
13	Maddox	Kelly	Yes	0	2.6	2.70	0	1	1	1.6	1.6
14	Uy	Ted	No	0	2.6	2.70	1	0	0	2.6	2.6

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Student Risk Status MORE INFO

Main menu Filter by demographics (dropdown)

Filter by: All

To assign a student to an intervention, either right-click the student's name and select "Assign to Intervention" or click the "Intervention" button at the top of this page and enter the student's ID number.

Student details			Pre-High School	Semester 1	Semester 2	Year total	
Student ID	First Name	Last Name	Pre-HS	Flag for Pre-HS indicator	Flag for Semester 1 indicator	Flag for Semester 2 indicator	Flag for Year total indicator
1	Manner	Christian	Yes	No	No	No	No
2	Linck	Nelson	No	No	No	No	No
3	Carmona	Lindsay	Yes	Yes	No	No	No
4	Uy	Ted	No	Yes	No	No	No
5	Black	Hugh	Yes	Yes	No	No	No
6	Manner	Christian	No	Yes	No	No	No
7	Linck	Nelson	Yes	Yes	No	No	No
8	Maddox	Kelly	No	Yes	No	No	No
9	Uy	Ted	Yes	No	No	No	No
10	Black	Hugh	No	Yes	No	No	No
11	Manner	Christian	Yes	No	No	No	No
12	Linck	Nelson	No	Yes	No	No	No
13	Maddox	Kelly	Yes	No	No	No	No
14	Uy	Ted	No	Yes	No	No	No

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Indicator Summary Report MORE INFO

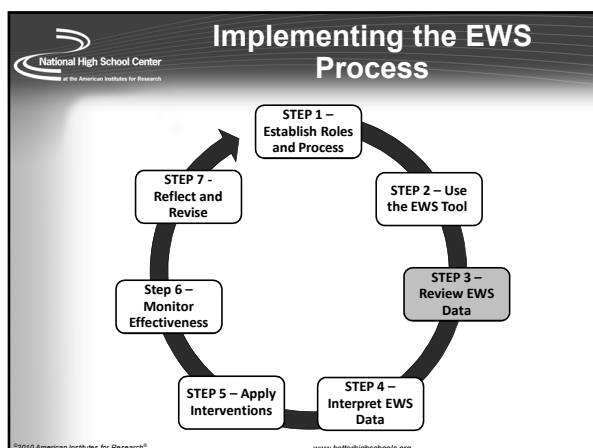
Grading period: Semester 1 Filter: No Date: Monday, August 09, 2010

Drop out: 0 Start date: 8/10/2009

Transfers out: 0 End date: 8/31/2009

Indicator	Not Flagged	Flagged
First 20 Day Attendance	4	30
Attendance	0	19
Course Fails	11	16
GPA	2	28
Any Flag	11	19

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Step Three: Review EWS Data

Goal is **Accurate** Identification of At-Risk Students, Based on Best Predictors

- The research on early warning signals provides a strong basis on which to ground an EWS – both the indicators and the “threshold” cut-off values.
- Local validation involves looking backward at previous cohorts of students to see which displayed these indicators, and which subsequently dropped out.

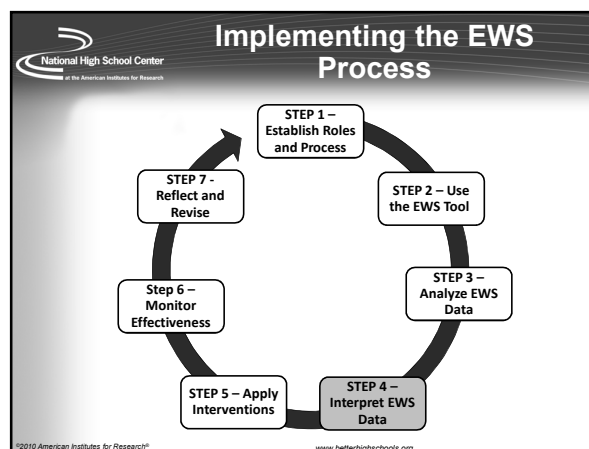
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Step Three: Review EWS Data

Questions about EWS data:

- *Student-level patterns:* What do your data tell you about individual students who are at-risk?
- *School-level patterns:* What do your data tell you about how the school is doing?
 - Are students who were flagged from the beginning remaining “off-track” through the year?
 - Are students who were flagged at one reporting period back “on-track” at the next?

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Step Four: Interpret EWS Data

Digging deeper than the indicators:

- Indicators are just observable signals, not root causes
- Characteristics of students who are flagged can further help target interventions at the appropriate intensity

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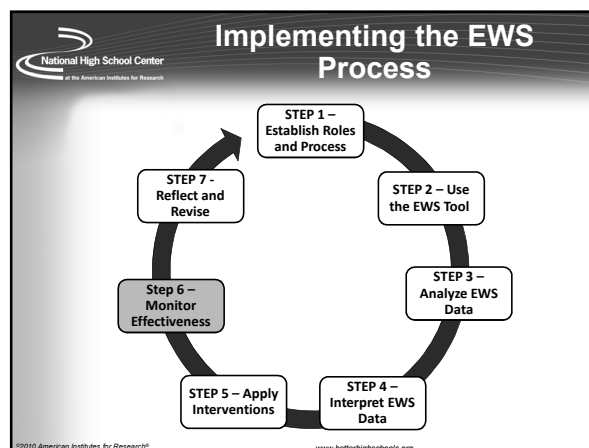
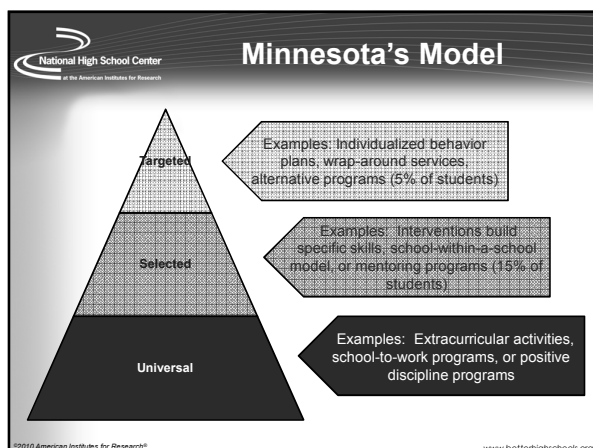
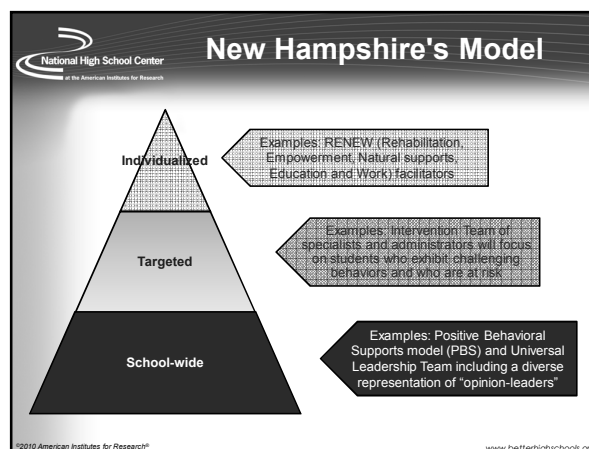
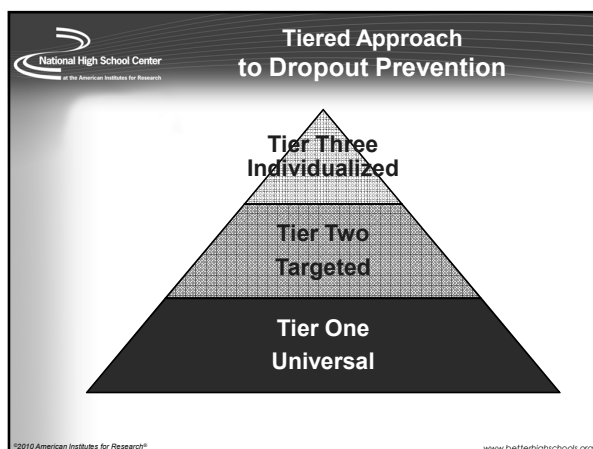
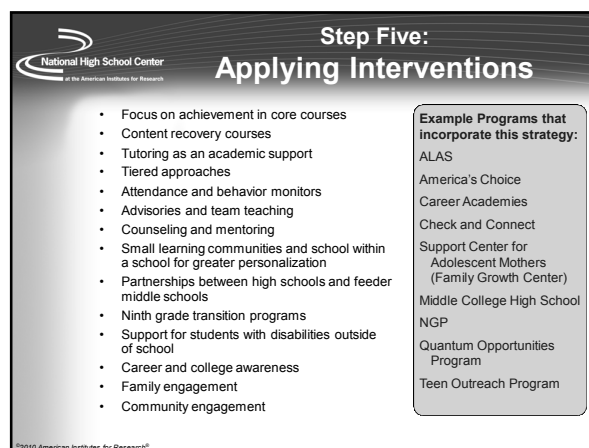
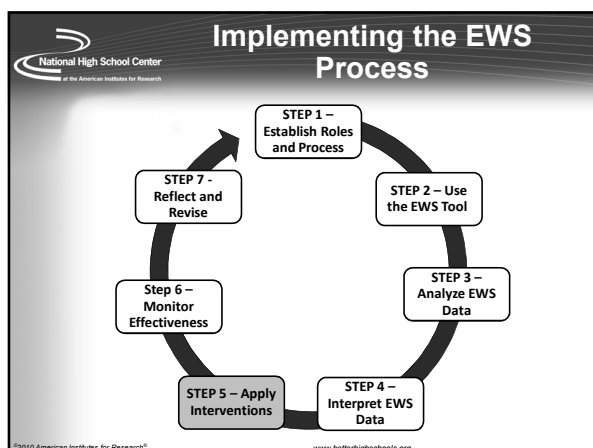
Why Do Youth Drop Out

- Academic challenges
- Lack of engagement and motivation
- Low expectations
- Limited parental involvement

In hindsight, young people who dropped out of school almost universally expressed great remorse for having left high school and expressed strong interest in re-entering school with students their age.

Bridgeland, et al, 2006

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Step Six: Monitor Effectiveness

Reports Control Panel

Student Level Report [MORE INFO](#)

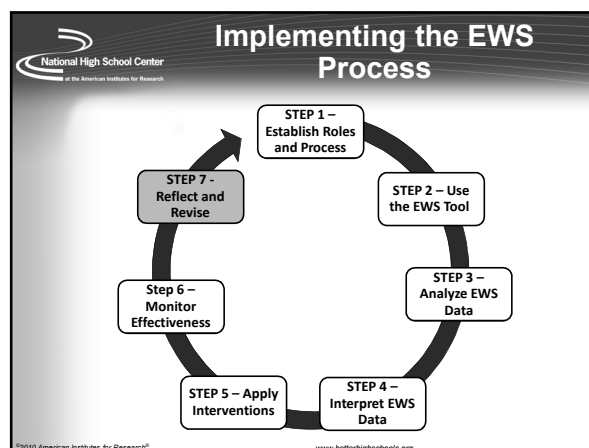
Time frame: End of year **Information: N/A**

Report: Off track to on-track
Date: August 15, 2010
Time frame: End of year

This report shows students who were on track in the selected grading period, but were off track in the previous grading period.

Student ID	First Name	Last Name	GP2_Attendan	GP2_Any acad	GP2_Any Acad	GP2_End of year	All Interventions
1	Manner	Christian	No	Yes	No	No	
20	Stech	Hugh	No	Yes	No	No	
21	Manner	Christian	No	Yes	No	No	
22	Lock	Nelson	No	Yes	No	No	

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Step Seven: Reflect and Revise

- Regularly
- Collectively
- Systematically

Guiding Questions for Reflection and Revision

Review

- How did you plan the year?
- What did you plan to do to get on track?
- What did you do to get on track?
- What did you do to get on track?

STEP 1 - Planning the Year

- How did you plan the year?
- What did you plan to do to get on track?
- What did you do to get on track?
- What did you do to get on track?

STEP 2 - Using the EWS Tool

- How did you plan the year?
- What did you plan to do to get on track?
- What did you do to get on track?
- What did you do to get on track?

STEP 3 - Analyzing the Data

- How did you plan the year?
- What did you plan to do to get on track?
- What did you do to get on track?
- What did you do to get on track?

STEP 4 - Interpreting the Data

- How did you plan the year?
- What did you plan to do to get on track?
- What did you do to get on track?
- What did you do to get on track?

STEP 5 - Applying the Data

- How did you plan the year?
- What did you plan to do to get on track?
- What did you do to get on track?
- What did you do to get on track?

STEP 6 - Monitoring the Data

- How did you plan the year?
- What did you plan to do to get on track?
- What did you do to get on track?
- What did you do to get on track?

STEP 7 - Reflecting and Revising

- How did you plan the year?
- What did you plan to do to get on track?
- What did you do to get on track?
- What did you do to get on track?

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Step Seven: Reflect and Revise

- During the school year: Regularly, collectively, and systematically
- Over multiple school years: Validate the indicators to maximize predictive power of the system, e.g.

	Displayed Early Warning Sign in 9 th Grade?	
Graduated in 4 (or 5) Years?	YES	NO
YES	False Positive (or Effective Intervention)	Accurate Prediction
NO	Accurate Prediction	False Negative

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Questions & Answers

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More Information:

Dropout Prevention Resources
www.betterhighschools.org/topics/DropoutPrevention.asp

Contact Information

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